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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Masayoshi ABE et al.

Serial No.: 10/025,998

Filed: December 26, 2001

For: OPTICAL DISK CONTROL DEVICE

**RECEIVED**

MAR 27 2002

Technology Center 2600

**NOTIFICATION OF PRIOR SUBMISSION OF  
ORIGINAL EXECUTED DECLARATION AND FEES**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, DC 20231

Sir:

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL


In response to the Notice to File Missing Parts dated January 29, 2002, applicants' representatives filed all the requested documents with the USPTO on January 30, 2002. Attached hereto are photocopies of applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts. Also attached hereto are photocopies of applicants' representatives' postcard receipt and Preliminary Amendment amending claims to eliminate multiply dependency.

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

February 27, 2002  
Date

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

RWP/mhs

Attorney Docket No.: HYAE:130

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,998	12/26/2001	Masayoshi Abe	HYAE: 130

PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805



CONFIRMATION NO. 7784

## FORMALITIES LETTER



\*OC000000007385921\*

Date Mailed: 01/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

MAR 27 2002

Filing Date Granted

Technology Center 2600

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$140.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 575.**

*A copy of this notice **MUST** be returned with the reply.*

*B. Herbsteold*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

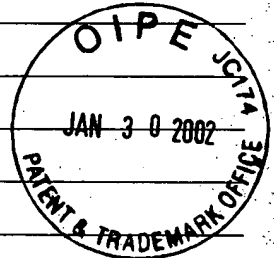
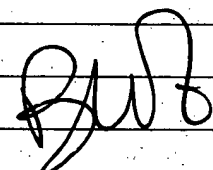


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Atty. Docket No. <b>HYAE:130</b>	
Description: <b>SUBMISSION OF ORIGINAL EXEC. DEC. W/EXEC. DEC.,</b>	
<b>ASSGN. TRANS. LTR. W/EXEC. ASSGN., IDS W/FORM</b>	
<b>PTO 1449 AND 1 REF., APPLN. DATA SHEET &amp;</b>	
<b>CHECK # 14986 FOR \$910.00</b>	
Inventor(s): <b>Masayoshi ABE et al.</b>	
Title (new cases):	
Attorney: <b>RWP/jmz</b>	Serial No.: <b>10/025,998</b>
Paper(s) Filed: <b>January 30, 2002</b>	
Date(s) Satisfied: <b>02/26/02; 03/26/02</b>	
N.D.D.: <b>None</b>	





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MAR 27 2002  
Technology Center 2600

HYAE:130  
Atty. Docket No. \_\_\_\_\_  
Description: **New unexec appln** \_\_\_\_\_ **ans, 49pp spec, 6pp clms** \_\_\_\_\_  
(1-14), 1p abstract, 13shts fml drwgs (Figs 1-  
13), Prel Amdt w/2pp mk-ups, and Clm Prty w/1CC  
JP2000-390339.  
Inventor(s): **Masayoshi ABE et al.** **JC760 U.S. PTO**  
Title (new case): **OPTICAL DISK CONTROL DEVICE** **10/025998**  
**12/26/01**  
**RWP:mhs** **New Application**  
Attorney: \_\_\_\_\_ Serial No.: \_\_\_\_\_  
Paper(s) Filed: **December 26, 2001**  
Date(s) Satisfied: **December 22, 2001**  
N.D.D.: **1/26/02-MP?; 2/26-IDS rmdr ltr; 3/26-FR & IDS;**  
**12/26/02-new appln status**



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Masayoshi ABE et al.

Serial No.: 10/025,998

Filed: December 26, 2001

For: OPTICAL DISK CONTROL DEVICE

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MAR 27 2002

Technology Center 2600

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on December 26, 2001 without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration of the inventors. Attached is our check for \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e). Our check includes \$40.00 to cover the payment for recording the executed Assignment. Also included in our check is the full filing fee for this application.

Entry of these documents should complete all of the filing formalities. Accordingly examination and allowance of the application in due course are respectfully solicited.

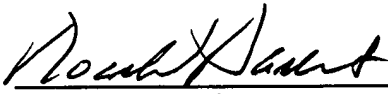
All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

January 30, 2002  
Date

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. HYAE:130

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

(rev. 5/00)



#6  
LTYBm  
05/05-02  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masayoshi ABE et al.

Serial No.: 10/025,998

Examiner: Unassigned

Filed: December 26, 2001

Group Art Unit: 2661

For: OPTICAL DISK CONTROL DEVICE

LETTER TO THE OFFICIAL DRAFTSPERSON

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**  
MAR 27 2002  
Technology Center 2600

Sir:

Please substitute the attached Thirteen (13) sheets of formal drawings depicting Figures 1-13 for Figures 1-13 originally filed with the application.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

February 27, 2002  
Date

RWP/mhs

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. HYAE:130

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